

Priloga I

Vložnik (firma in sedež)/Applicant (its company name and location of the registered office):

Naslovník/Addressee:

BANKA SLOVENIJE
Slovenska cesta 35
SI – 1505 LJUBLJANA
SLOVENIJA

ZAHTEVA ZA PRIZNANJE PRIMERNOSTI/APPLICATION FOR THE RECOGNITION OF ELIGIBILITY

V skladu z Zakonom o bančništvu (Uradni list RS, št. 131/06) ter njegovimi spremembami in dopolnitvami in v skladu s Sklepom o priznavanju zunanjih bonitetnih institucij (Uradni list RS, št. 135/06) ter njegovimi spremembami in dopolnitvami (v nadaljevanju: sklep) vlagamo zahtevo za izdajo priznanja primernosti za namen izračuna kapitalske zahteve za kreditno tveganje na podlagi podatkov in dokumentacije, kot je navedeno spodaj/Pursuant to the Banking Act (Official Gazette of the RS, No. 131/06) and its amendments, and to the Regulation on the Recognition of External Credit Assessment Institutions (Official Gazette of the RS, No. 135/06) and its amendments (hereinafter: the Regulation), we hereby apply for the recognition of eligibility with a view to the calculation of capital requirements for credit risk to be issued on the basis of information and evidence as stated below.

I. **PODATKI O VLOŽNIKOVEM ZAKONITEM ZASTOPNIKU ALI DIREKTORJA ZA VAROVANJE ZAKONITOSTI POSLOVANJA/INFORMATION REFERRING TO THE LEGAL REPRESENTATIVE OF THE APPLICANT OR REFERRING TO THE CHIEF COMPLIANCE OFFICER OF THE APPLICANT**

Naziv (*obkrožite*)/Title (*circle*): G./Mr. Ga./Mrs. Gdč./Ms.

Ime/First name: _____

Priimek/Surname: _____

Naziv delovnega mesta/Job title: _____

Naslov/Mailing address:

ulica ali kraj, hišna številka/Street and number:

poštna številka, pošta/Postal code, town: _____

država/Country: _____

Telefonska številka/Phone number (*inclusive of the country code*): _____

Faks/Fax number (*inclusive of the country code*): _____

Elektronski naslov/E-mail address: _____

II. PODATKI O POOBLAŠČENCU/INFORMATION REFERRING TO THE ATTORNEY-IN-FACT¹

Naziv (*obkrožite*)/Title (*circle*): G./Mr. Ga./Mrs. Gdč./Ms.

Ime/First name: _____

Priimek/Surname: _____

Naslov/Mailing address:

ulica ali kraj, hišna številka/Street and number:

poštna številka, pošta/Postal code, town: _____

država/Country: _____

Telefonska številka/Phone number (*inclusive of the country code*): _____

Faks/Fax number (*inclusive of the country code*): _____

Elektronski naslov/E-mail address: _____

POOBLASTILO/POWER OF ATTORNEY

Podpisani pooblašcam/I, the undersigned, hereby appoint _____

_____ (*ime in priimek pooblaščenca/first name and surname of the attorney-in-fact*) **za zastopanje v zadevi priznavanja primernosti za namen izračuna kapitalske zahteve za kreditno tveganje/as my agent to act for me in any lawful way with respect to the matter of the recognition of eligibility with a view to the calculation of capital requirements for credit risk.**

**Podpis vložnikovega zakonitega zastopnika ali direktorja za varovanje zakonitosti poslovanja/
Signature of the applicant's legal representative or of the chief compliance officer**

III. SPLOŠNI PODATKI O ZAHTEVI/GENERAL INFORMATION ON APPLICATION

1. Vrsta zahteve za priznanje primernosti (*ustrezno obkrožite*)/The type of application for the recognition of eligibility (*circle as appropriate*):
 - a. za uporabo bonitetnih ocen vložnika ali skupine ECAI pri izračunu kapitalske zahteve za kreditno tveganje po standardiziranem pristopu/to use credit assessments of the applicant or the ECAI group for the calculation of capital requirements for credit risk under the Standardised Approach;
 - b. za uporabo bonitetnih ocen vložnika ali skupine ECAI pri izračunu kapitalske zahteve za kreditno tveganje pri listinjenju/to use credit assessments of the applicant or the ECAI group for the calculation of capital requirements for the credit risk of securitisation.

¹ Izpolni se samo, če ima vložnik pooblaščenca./Complete only if the applicant has the attorney-in-fact.

2. Tržni segmenti, za katere vložnik želi priznanje primernosti/Market segments for which the applicant is seeking the recognition of eligibility:

3. Firma in sedež ECAI, za katere vložnik želi priznanje primernosti/The company name and location of the registered office of the ECAIs for which the applicant is seeking the recognition of eligibility:

4. Države, v katerih vložnik želi ali namerava pridobiti priznanje primernosti (*ustrezno obkrožite in dopolnite*)/Countries in which the applicant is seeking or intends to seek the recognition of eligibility (*circle and fulfil as appropriate*):

- | | |
|-------------------------|-------------------------------------|
| 1. Avstrija/Austria | 16. Litva/Lithuania |
| 2. Belgija/Belgium | 17. Luksemburg/Luxembourg |
| 3. Bolgarija/Bulgaria | 18. Malta/Malta |
| 4. Ciper/Cyprus | 19. Nizozemska/Netherlands |
| 5. Češka/Czech Republic | 20. Poljska/Poland |
| 6. Danska/Denmark | 21. Portugalska/Portugal |
| 7. Estonija/Estonia | 22. Romunija/Romania |
| 8. Finska/Finland | 23. Slovaška/Slovak Republic |
| 9. Francija/France | 24. Slovenija/Slovenia |
| 10. Nemčija/Germany | 25. Španija/Spain |
| 11. Grčija/Greece | 26. Švedska/Sweden |
| 12. Madžarska/Hungary | 27. Velika Britanija/United Kingdom |
| 13. Irska/Ireland | 28. Islandija/Iceland |
| 14. Italija/Italy | 29. Lihtenštajn/Liechtenstein |
| 15. Latvija/Latvia | 30. Norveška/Norway |

31. Druge/ Others:

IV. PRILOGE (ustrezno obkrožite in dopolnite)/APPENDICES (circle and fulfil as appropriate)

1. Izjava o nameri uporabe bonitetnih ocen iz drugega stavka točke (a) drugega odstavka 3. člena sklepa/Statement concerning the Intent of the Use of Credit Assessments laid down in Article 3(2), Item (a), Sentence 2 of the Regulation;
2. Izjava o dovoljenju za poizvedovanje iz četrtega stavka točke (a) drugega odstavka 3. člena sklepa/Statement concerning the Permission for Enquiry laid down in Article 3(2), Item (a), Sentence 4 of the Regulation;
3. Izjava o skladnosti metodologij in postopkov skupine ECAI iz točke (b) drugega odstavka 3. člena sklepa/Declaration of Conformity of ECAI Group's Methodologies and Procedures laid down in Article 3(2), Item (b) of the Regulation;
4. Izpolnjen enotni osnovni prijavitni paket iz točke (c) drugega odstavka 3. člena sklepa s prilogami/Completed Common Basis Application Pack laid down in Article 3(2), Item (c) of the Regulation with appendices;
5. Fotokopija odločbe o registraciji iz točke (c) drugega odstavka 3. člena sklepa/Fotocopy of the Registration Decision laid down in Article 3(2), Item (c) of the Regulation;
6. Kopija vloge za registracijo iz točke (c) drugega odstavka 3. člena sklepa/Copy of the Application for Registration laid down in Article 3(2), Item (c) of the Regulation;
7. Fotokopija odločitve o certificiranju iz točke (c) drugega odstavka 3. člena sklepa/Fotocopy of the Certification Decision laid down in Article 3(2), Item (c) of the Regulation;
8. Kopija vloge za certificiranje iz točke (c) drugega odstavka 3. člena sklepa/Copy of the Application for Certification laid down in Article 3(2), Item (c) of the Regulation;
9. Drugo/Other:

Datum (dan, mesec, leto)/Date (day, month, year): _____

Ime in priimek vložnikovega zakonitega zastopnika ali direktorja za varovanje zakonitosti poslovanja/First name and surname of the applicant's legal representative or of the chief compliance officer:

Podpis vložnikovega zakonitega zastopnika ali direktorja za varovanje zakonitosti poslovanja/Signature of the applicant's legal representative or of the chief compliance officer:
